5000

STIC Biotechnology Systems Branch

RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number:	10/522,827
Source:	PUTILO
Date Processed by STIC:	2/15/05
Date Hoodson by 5110.	

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,

2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE <u>CHECKER</u> <u>VERSION 4.2.2 PROGRAM</u>, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail. Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (<http://www.uspto.gov/ebc/efs/downloads/documents.htm>, EFS Submission User Manual ePAVE).
- 2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
- 3. Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 01/14/05): U.S. Patent and Trademark Office, Mail Stop Sequence, Customer Window, Randolph Building, 401 Dulany Street, Alexandria, VA 22314

Revised 01/24/05

Raw Sequence Listing Error Summary

	SUGGESTED CORRECTION SERIAL NUMBER: 10/522, 827
, <u>,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,</u>	
	PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE
Wrapped Aminos	prevent "wrapping."
	The rules require that a line not exceed 72 characters in length. This includes white spaces.
Misaligned Amino Numbering Non-ASCII	use space characters, instead.
4Non-ASCII	The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.
5Variable Length	Sequence(s) contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.
6PatentIn 2.0 "bug"	A "bug" in Patentin version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s) Normally, Patentin would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.
7Skipped Sequences (OLD RULES)	Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence: (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) (i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading) (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) This sequence is intentionally skipped
	Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to include the skipped sequences.
8Skipped Sequences (NEW RULES)	Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence. <210> sequence id number <400> sequence id number 000
9Use of n's or Xaa's (NEW RULES)	Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if h s of Aaa's are present. In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.
Invalid <213> Response	Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or is Artificial Sequence
11Use of <220>	Sequence(s) missing the <220> "Feature" and associated numeric identifiers and responses. Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section. (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)
PatentIn 2.0 "bug"	Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.
13 Misuse of n/Xaa	"n" can only represent a single nucleotide; "Xaa" can only represent a single amino acid
_	Donah 00/00/2003

AMC - Biotechnology Systems Branch - 09/09/2003



PCT

RAW SEQUENCE LISTING

PATENT APPLICATION: US/10/522,827

DATE: 02/11/2005 TIME: 16:12:58

Input Set : A:\PTO.YF.txt

Output Set: N:\CRF4\02112005\J522827.raw

```
5 <110> APPLICANT: Lek Pharmaceuticals d. d.
W--> 6 <120> TITLE OF INVENTION: Synthetic gene coding for human granulocyte-colony
              stimulating factor for the expression in E. coli
      8 <140> CURRENT APPLICATION NUMBER: US/10/522,827
C--> 8 <141> CURRENT FILING DATE: 2005-01-31
      0 <130> FILE REFERENCE:
W--> 8 <160> NUMBER OF SEQ ID: 2
```

ERRORED SEQUENCES

E--> 11 <210> SEQ ID NO: SEQ ID NO: 1 12 <211> LENGTH: 525 base pairs 13 <212> TYPE: DNA 14 <213> ORGANISM: synthetic sequence W--> 15 <220> FEATURE: gene W--> 16 <400> SEQUENCE: SEQ ID NO: 1 E--> 18 atgacaccac tgggtccagc ttcttctctg ccgcaaagct ttctgttgaa atgtttagaa E--> 19 caagttcgta aaattcaagg tgatggtgca gctttacaag aaaaactgtg tgcaacttat E--> 20 aaactgtgtc atccagaaga actggttctg ttaggtcatt ctctgggtat tccgtgggct E--> 21 cctctgagct cctgtccgag ccaggcgctg cagctggcag gctgcctgag ccaactgcat E--> 22 agcggtctgt ttctgtatca gggtctgctg caggcgctgg aaggcatttc cccggaactg E--> 23 gggcccacct tggacacact gcagctggac gtcgccgact ttgccaccac catctggcag E--> 24 cagatggaag aactgggaat ggcccctgcc ctgcagccca cccagggtgc catgccggcc E--> 25 ttcgcctctg ctttccagcg ccgtgcaggt ggggtcctgg ttgctagcca tctgcaatct

E--> 26 tttctggaag ttagctatcg tgttctgcgt catctggctc agccg E--> 28 <210> SEQ ID NO: SEQ ID NO: 2 29 <211> LENGTH: 528 base pairs 30 <212> TYPE: DNA

31 <213> ORGANISM: synthetic sequence

W--> 32 <220> FEATURE: gene

W--> 33 <400> SEQUENCE: SEQ ID NO: 2 E--> 34 atgacaccac tgggtccagc ttcttctctg ccgcaaagct ttctgttgaa atgtttagaa E--> 35 caagttcgta aaattcaagg tgatggtgca gctttacaag aaaaactgtg tgcaacttat E--> 36 aaactgtgtc atccagaaga actggttctg ttaggtcatt ctctgggtat tccgtgggct E--> 37 cctctgagct cctgtccgag ccaggcgctg cagctggcag gctgcctgag ccaactgcat E--> 38 agcggtctgt ttctgtatca gggtctgctg caggcgctgg aaggcatttc cccggaactg E--> 39 gggcccacct tggacacact gcagctggac gtcgccgact ttgccaccac catctggcag E--> 40 cagatggaag aactgggaat ggcccctgcc ctgcagccca cccagggtgc catgccggcc E--> 41 ttcgcctctg ctttccagcg ccgtgcaggt ggggtcctgg ttgctagcca tctgcaatct E--> 42 tttctggaag ttagctatcg tgttctgcgt catctggctc agccgtga

SEQUENCE LISTING

	11 + 1.10
<110>	Lek Pharmaceuticals d. d.
<120>	Lek Pharmaceuticals d. d. Synthetic gene coding for human granulocyte-colony Mardatory
	factor for the expression in E. coli
(1.00)	
	200 1 NOT wit abslication (1417) identifiers
	delete-do 1101 mills boadings
<210>	delete-do NoT insert alphabetical (141) SEQ-ID-NO: 1 SEQ-ID-NO: 1
<211>	525 base pairs
<212>	DNA Jumany
<213>	(synthetic sequence)
<220>	- SEO ID-NO: 1 Per 1,822
<400>	
	delete taggecage teteteteta cegeaaaget teetgetaaa atgetetagaa 60 (- 1) seguree
	tgggtccagc ttcttctctg ccgcaaagct tictgitgaa atgittagaa VO
	aaattcaagg tgatggtgca gctttacaag aaaaactgtg tgcaacttat 1206-
	atccagaaga actggttctg ttaggtcatt ctctgggtat tccgtgggct
	cctgtccgag ccaggcgctg cagctggcag gctgcctgag ccaactgcat ttctgtatca gggtctgctg caggcgctgg aaggcatttc cccggaactg
	ttctgtatca gggtctgctg caggcgctgg aaggcatttc cccggaactg Cunus
	tggacacact gcagctggac gtcgccgact ttgccaccac catctggcag base totals
	3333
	ctttccagcg ccgtgcaggt ggggtcctgg ttgctagcca tctgcaatct ttagctatcg tgttctgcgt catctggctc agccg
ccccggaag	marih
<210>	SEQ ID NO: 2
<211>	528 base pairs
<212>	DNA
<213>	Synthetic sequence & & authurit Aplanation
<220>	-gene FYI: "gene" M not a more of for (213) Artificul seguine
<400>	Synthetic sequence is not a sufficient exploration Sequence SEQ ID NO: 2 taggtccage ttetteteta ceqeaaaget ttetgttgaa atgtttagaa
· · ·	
	aaattcaagg tgatggtgca gctttacaag aaaaactgtg tgcaacttat
	atccagaaga actggttctg ttaggtcatt ctctgggtat tccgtgggct
	ceegeeegag eeaggegeeg eageeggeag goegeegag ooaaoogaa
	ttctgtatca gggtctgctg caggcgctgg aaggcatttc cccggaactg
-	tggacacact gcagctggac gtcgccgact ttgccaccac catctggcag
	aactgggaat ggcccctgcc ctgcagccca cccagggtgc catgccggcc
	ctttccagcg ccgtgcaggt ggggtcctgg ttgctagcca tctgcaatct
tttctggaag	ttagctatcg tgttctgcgt catctggctc agccgtga

1) Corsult Sequence Rules
2) Corsult sample Sequence Listing, attacked

```
Smith, John; Smithgene Inc.
<110>
<120>
             Example of a Sequence Listing
             01-00001
<130>
<140>
             PCT/EP98/00001
<141>
            1998-12-31
<150>
            US 08/999,999
<151>
            1997-10-15
<160>
            4
<170>
             PatentIn version 2.0
<210>
            1
            389
<211>
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            DNA
<213>
            Paramecium sp.
<220>
<221>
            CDS
            (279)...(389)
<222>
<300>
            Doe, Richard
<301>
<302>
            Isolation and Characterization of a Gene Encoding a
            Protease from Paramecium sp.
            Journal of Genes
<303>
<304>
.<305>
            4
<306>
            1-7
            1988-06-31
<307>
<308>
            123456
            1988-06-31
<309>
< 400>
                                                                                   60
                                     ctgggcttct caccctgcta
agctgtagtc
                        cctcttctct
                                                              atcagatctc
            attcctgtgt
                                                                                  120
                                     tgcagcttca caggcaggca
                                                              ggcaggcagc
agggagagtg
            tcttgaccct cctctgcctt
                                                                                  180
tgatgtggca
            attgctggca gtgccacagg
                                     cttttcagcc
                                                  aggcttaggg
                                                              tgggttccgc
                                                                                  240
            cggccctct cgcgctctc tcgcgcctct ctctcgctct cctctcgctc
cgcggcgcgg
```

Ensult this

ggacct	gatt	agg	gtgag	cag	gagga	33333	cag	ttagc		atg Met 1	gtt Val	tca Ser	atg Met	ttc Phe 5	agc Ser	296
-		ttc Phe	aaa Lys 10	tgg Trp	cct Pro	gga Gly	ttt Phe	tgt Cys 15	ttg Leu	ttt Phe	gtt Val	tgt Cys	ttg Leu 20	ttc Phe	caa Gln	344
_		aaa Lys 25	gtc Val	ctc Leu	ccc Pro	tgt Cys	cac His 30	tca Ser	tca Ser	ctg Leu	cag Gln	ccg Pro 35	aat Asn	ctt Leu	•	389
<210><211><211><212><213>		2 37 PRT Par	rameci	ium s	р.							•				
<400> Met V 1	/al :	2 Ser	Met	Phe 5	Ser	Leu	Ser	Phe	Lys 10	Trp	Pro	Gly	Phe	Cys 15	Leu	
Phe V	/al	Cys	Leu 20	Phe	Gln	Cys	Pro	Lys 25	Val	Leu	Pro	Cys	His 30	Ser	Ser	
Leu C	Gln	Pro 35	Asn	Leu												
<210><211><211><212><213>		3 11 PRT Art		ial S	egueno	ce										
<220> <223>		Des lir	signed aker l	d pep betwe	tide l en the	pased e alph	on si a and	ze an I beta	d pol chai	arity ns of	to a	ct as ein X)	a (2.			
<400> Met V 1	/al	3 Asn	Leu	Glu 5	Pro	Met	His	Thr	Glu 10	Ile						,
<210><400>		4												,		



table. The numeric identifier shall be used only in the "Sequence Listing." The order and presentation of the items of information in the "Sequence Listing" shall conform to the arrangement given below. Each item of information shall begin on a new line and shall begin with the numeric identifier enclosed in angle brackets as shown. The submission of those items of information designated with an "M" is mandatory. The submission of those items of information designated with an "O" is optional. Numeric identifiers <110> through <170> shall only be set forth at the beginning of the "Sequence Listing." The following table illustrates the numeric identifiers.

Numeric Identifier	Definition	Comments and Format	Mandatory (M) or Optional (O)
<110>	Applicant	Preferably max. of 10 names; one name per line; preferable format: Surname, Other Names and/or Initials	M
<120>	Title of Invention	· · · -	M
<130>	File Reference	Personal file reference	M when filed prior to assignment of appl. number
<140>	Current Applica- tion Number	Specify as: US 07/999,999 or PCT/US96/99999	M, if available
<141>	Current Filing Date	Specify as: yyyy-mm-dd	M, if available
<150>	Prior Application Number	Specify as: US 07/999,999 or PCT/US96/99999	M, if applicable include priority documents under 35 USC 119 and 120
<151>	Prior Application Filing Date	Specify as: yyyy-mm-dd	M, if applicable
<160>	Number of SEQ ID NOs	Count includes total number of SEQ ID NOs	M
<170>	Software	Name of software used to create the Sequence Listing	O .
<210>	SEQ ID NO:#:	Response shall be an integer representing the SEQ ID NO shown	M
<211>	Length	Respond with an integer expressing the number of bases or amino acid residues	M st

M

M

<212> Type

Whether presented sequence molecule is DNA, RNA, or PRT (protein). If a nucleotide sequence contains both DNA and RNA fragments, the type shall be "DNA." In addition, the combined DNA/ RNA molecule shall be further described in the <220> to <223> feature section.

<213> Organism

Scientific name,
i.e. Genus/species,
Unknown or Artificial Sequence. In
addition, the
"Unknown" or
"Artificial Sequence" organisms
shall be further
described in the
<220> to <223>
feature section.

<220> Feature

.

Leave blank after <220>. <221-223> provide for a description of points of biological significance in the sequence.

M, under the following conditions: if "n,"
"Xaa," or a modified or unusual L-amino acid or modified base was used in a sequence; if ORGANISM is "Artificial Sequence" or "Unknown"; if molecule is combined DNA/RNA.

<221> Name/Key

Provide appropriate identifier for feature, preferably from WIPO Standard ST.25 (1998), Appendix 2, Tables 5 and 6

M, under the following conditions: if "n," "Xaa," or a modified or unusual L-amino acid or modified base was used in a sequence

<222> Location

Specify location within sequence; where appropriate state number of first and last bases/amino acids

M, under the following conditions: if "n," "Xaa," or a modified or unusual L-amino acid or modified

		in feature .	base was used in a sequence
<223>	Other Information	Other relevant information; four lines maximum	M, under the following conditions: if "n," "Xaa," or a modified or unusual L-amino acid or modified base was used in a sequence; if ORGANISM is "Artificial
	. \		Sequence" or "Unknown"; if molecule is combined DNA/RNA.
<300>	Publication Information	Leave blank after <300>	0 .
<301>	Authors	Preferably max of ten named authors of publi- cation; specify one name per line; preferable format: Surname, Other Names and/or Initials	
<302>	Title	•	, Ο
<303>	Journal		0
<304>	Volume		0
<305>	Issue		0
<306>	Pages		0 .
<307>	Date	Journal date on which data published; specify as yyyy-mm-dd, MMM-yyyy or Season-yyyy	0
<308>	Database Accession Number	Accession number assigned by data-base including database name	0
<309>	Database Entry Date	Date of entry in database; specify as yyyy-mm-dd or MMM-yyyy	•
<310>	Patent Document Number	Document number; for patent-type citations only. Specify as, for example, US 07/999,999	O ***

<311>	Patent Filing Date	Document filing date, for patent-type citations only; specify as yyyy-mm-dd	0
<312>	Publication Date	Document publication date, for patent-type citations only; specify as yyyy-mm-dd	0
<313>	Relevant Residues	FROM (position) TO (position)	0
<400>	Sequence	SEQ ID NO should follow the numeric identifier and should appear on the line preceding the actual sequence	M

- 5. Section 1.824 is revised to read as follows:
- 1.824 Form and format for nucleotide and/or amino acid sequence submissions in computer readable form.
- (a) The computer readable form required by 1.821(e) shall meet the following specifications:
- (1) The computer readable form shall contain a single "Sequence Listing" as either a diskette, series of diskettes, or other permissible media outlined in paragraph (c) of this section.
- (2) The "Sequence Listing" in paragraph (a) (1) of this section shall be submitted in American Standard Code for Information Interchange (ASCII) text. No other formats shall be allowed.
- (3) The computer readable form may be created by any means, such as word processors, nucleotide/amino acid sequence editors or other custom computer programs; however, it shall conform to all specifications detailed in this section.
- (4) File compression is acceptable when using diskette media, so long as the compressed file is in a self-extracting format that will decompress on one of the systems described in paragraph (b) of this section.
- (5) Page numbering shall not appear within the computer readable form version of the "Sequence Listing" file.
- (6) All computer readable forms shall have a label permanently affixed thereto on which has been hand-printed or typed: the name of the applicant, the title of the invention, the date on which the data were recorded on the computer readable form, the operating system used, a reference number, and an application serial number and filing date, if known.
- (b) Computer readable form submissions must meet these format requirements:
- (1) Computer: IBM PC/XT/AT, or compatibles, or Apple Macintosh;
- (2) Operating System: MS-DOS, Unix or Macintosh;